

### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: June 25, 2004 Name: Anthony P. Curtis, Ph.D.

Signature:

BRINKS HOFER GILSON &LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

licant:

Miki Ono, et al.

Examiner:

Serial No.:

10/607,475

James A. Menefee

Filed:

June 26, 2003

Art Unit: 2828

For:

OPTICAL SEMICONDUCTOR DEVICE PROVIDED WITH HIGH-NA LENS

Attorney Docket No:

9281-4621

Client No. K2F US99641

Mail Stop: Amendment

TRANSMITTAL

Commissioner for Patents, P. O. Box 1450

Alexandria, VA 22313-1450

Sir:

### Attached are:

$\boxtimes$	Transmittal Letter (in duplicate);	<b>Amendment and Response to Office Action of</b>
	March 26, 2004 (9 pgs); and	

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Fee calculation:

L	j	An extension t	fee in a	an amount of	\$	for a	ımon	th extens	ion of	time	under	37	C.F	R.	§ 1	⊥.136(	(a).
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An additional filing fee has been calculated as shown below:

					Sma	II Entity		Not a S	mall Entity
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Pre	sentation of Multiple D	ep. Claim	1		+\$145=			+ \$290=	
					Total	\$		Total	\$

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-66	paym	ent.

Please charge Deposit Account No. 23-1925 in the amount of \$ for this purpose.

. A copy of this Transmittal is enclosed

The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully\_submitted,

6/25/04 Date

Anthory P. Curtis, Ph.D. (Reg. No.46,193)

Agent for Applicant

Serial No.: 10/607,475

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to: MS/Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 on June 25, 2004

Date of Deposit

Anthony P. Curtis, Ph.D., Registration No. 46,193

Name of applicant, assignee or Registered Representative

\$igrature

Date of Signature

Our Case No. 9281-4621 Client Ref. No. K2F US99641

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Miki Ono, et al.	) )
Serial No. 10/607,475	) ) Examiner: James A. Menefee
Filing Date: June 26, 2003	Group Art Unit No. 2828
For: OPTICAL SEMICONDUCTOR DEVICE PROVIDED WITH HIGH-NA LENS	) ) )

# **AMENDMENT AND RESPONSE**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This paper is submitted in response to the Office Action of March 26, 2004. The amendment to the Specification begins on page 2, Claims begin on page 3, and the Remarks begin on page 6.